

B 210A (Form 210A) (12/09)

**United States Bankruptcy Court  
Southern District of New York**

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Dolphinsu Capital LLC \_\_\_\_\_  
Name of Transferee

UBS AG, Stamford Branch \_\_\_\_\_  
Name of Transferor

Name and Address where notices to transferee should be sent:  
Dolphinsu Capital LLC  
c/o Richards Kibbe & Orbe  
Attn: Larry Halperin  
One World Financial Center  
New York, New York 10281  
Tel: 212.530.1870  
Email: lhalperin@rkollp.com

Court Claim #: 67589 \_\_\_\_\_  
Amount of Claim: \$19,428,411.00 \_\_\_\_\_  
Date Claim Filed: 7/28/11 \_\_\_\_\_  
Debtor: Lehman Brothers Holdings Inc. \_\_\_\_\_

Phone: : 212.530.1870 \_\_\_\_\_  
Last Four Digits of Acct #: N/A \_\_\_\_\_

Phone: 203 719-5547 \_\_\_\_\_  
Last Four Digits of Acct. #: N/A \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Dolphinsu Capital LLC

By: Richards Kibbe & Orbe LLP, as authorized signatory  
and not in the capacity as legal counsel to Dolphinsu Capital LLC

By: Lam S. Hall Date: 8/1/11

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 Y.S.C. §§ 152 & 3571.

Acknowledged and Agreed:

By: \_\_\_\_\_  
Transferor/Transferor's Agent

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Dolphinsu Capital LLC

By: Richards Kibbe & Orbe LLP, as authorized signatory  
and not in the capacity as legal counsel to Dolphinsu Capital LLC

By: \_\_\_\_\_

Date: \_\_\_\_\_

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 Y.S.C. §§ 152 & 3571.

Acknowledged and Agreed:

By: \_\_\_\_\_

Transferor/Transferor's Agent

Darlene Arias  
Director  
Banking Products Services, US



Stephen Scanapieco  
Associate Director  
Banking Products  
Services, US